

# Minimum violation maps and their applications to cut problems

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## Violation

A **map** from  $G = (V, E)$  to  $H = (U, F)$  is a function  $f : V \rightarrow U$ .

$H$  is the **pattern graph**.

An edge  $uv \in E$  is a **violating edge**, if  $f(u)f(v) \notin F$ .

The **violation** of  $f$  is the number of violating edges.

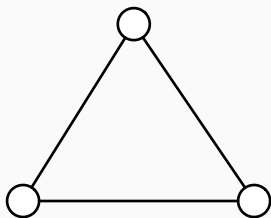
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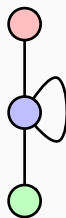
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$G$



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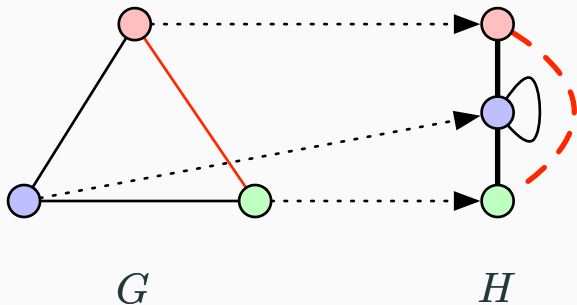
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## Minimum Violation. $\text{MinVio}(H)$

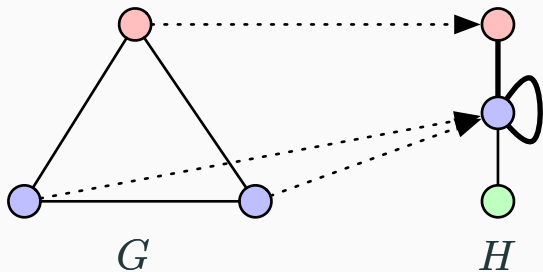
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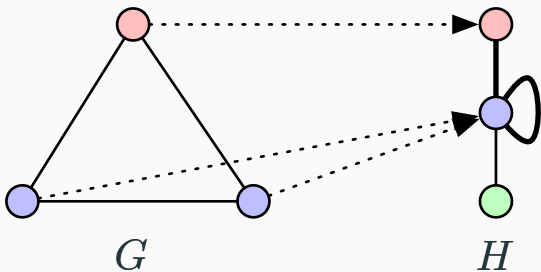


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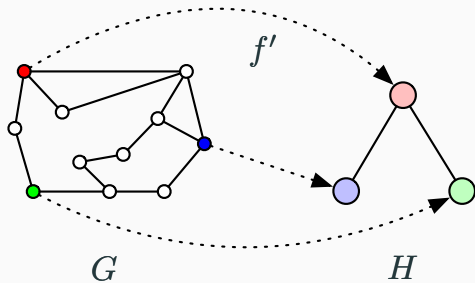
**BAD! NOT SURJECTIVE!**  $H$  is **s-tractable** if  $\text{MinVio}(H)$  is tractable.

## Fixed-terminal minimum violation. $\text{FixMinVio}(H)$

**Input:** graph  $G$  and a bijection  $f' : V' \rightarrow U$  for some  $V' \subseteq V(G)$

**Output:** A map  $f$  from  $G$  to  $H$  such that  $f|_{V'} = f'$  and the violation is minimized.

Vertices in  $V'$  are **fixed vertices**.



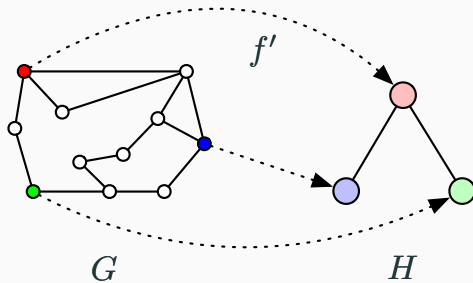


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$H$  is **f-tractable** if  $\text{FixMinVio}(H)$  is tractable.

**Goal: classify the s-tractable and f-tractable graphs.**

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# Why minimum violation?

- A **homomorphism** is a map with violation 0.
- **FixMinVio**( $H$ ) models fixed terminal cut problems.
- **MinVio**( $H$ ) models global cut problems.
- A complete classification of f-tractable/s-tractable graphs implies complexity of various cut problems.

Classification of s-tractable graphs and f-tractable graphs was studied under the name “ $G_c$ -cut”. [Elem, Hassin & Monnot 13]

- Model cut problems by minimum violation maps.
- A complete classification of  $f$ -tractable graphs.
- For a reflexive graph,  $s$ -tractability only depend on the  $s$ -tractability of its components.



- All graphs after this point are **reflexive**. For simplicity, we do not draw the self-loops.
- We state theorems for graphs, but there are directed graph counterparts.
- Our results hold for weighted graphs too. The violation is the sum of the weights of the violating edges.

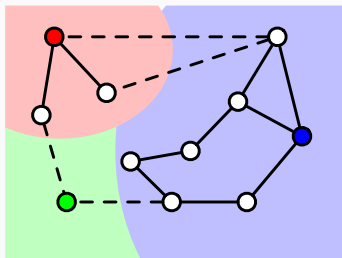
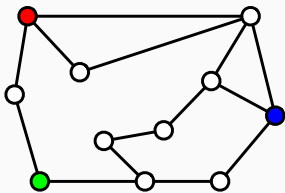
# Modeling cut problems

## $k$ -way cut

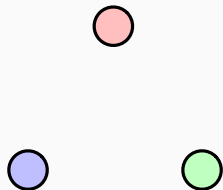
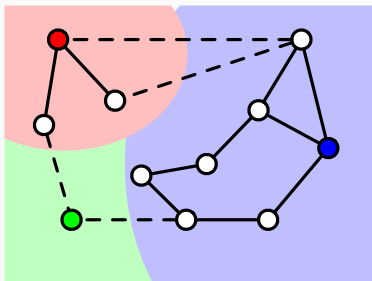
### Problem: Min $k$ -way cut

**Input:**  $G$  and  $v_1, \dots, v_k \in V(G)$

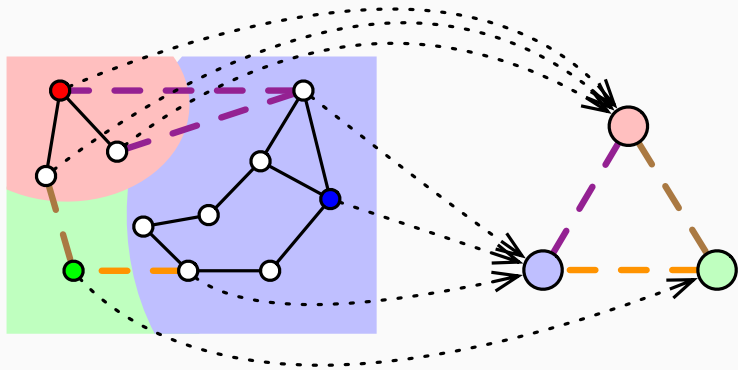
**Output:** A  $k$ -partition  $(V_1, \dots, V_k)$ , such that  $v_i \in V_i$  for all  $i$ , and the number of edges crossing the partition classes is minimized.



# 3-way cut



## 3-way cut



**Problem: min  $k$ -cut**

**Input:**  $G = (V, E)$

**Output:** A  $k$ -partition  $V_1, \dots, V_k$  of  $V$ , such that the number of edges crossing the partition classes is minimized.

$T_k$  is the graph of  $k$  isolated vertices, each with a self-loop.

*k*-way cut is equivalent to **FixMinVio**( $T_k$ ).

*k*-way cut is NP-hard for  $k \geq 3$ . [**Dahlhaus et. al. 94**]

*k*-cut is equivalent to **MinVio**( $T_k$ ).

Solvable in polynomial time for every fixed  $k$  [**Goldschmidt & Hochbaum 94, Karger & Stein 96**].

## $l$ -length-bounded cut

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## $\ell$ -length-bounded cut

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$\infty$ -length bounded  $st$ -cut is the standard  $st$ -cut.

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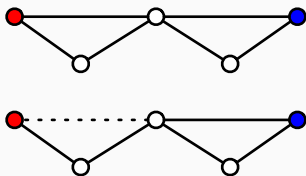
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**Problem:**  $\ell$ -length-bounded cut

**Input:**  $G$  and a pair of vertices  $s$  and  $t$

**Output:** A minimum cardinality  $\ell$ -length-bounded  $st$ -cut.



2-length bounded cut

**Theorem ([Mahjoub & McCormick 00])**

*$\ell$ -length-bounded cut is tractable if and only if  $\ell \leq 3$ .*

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*$\ell$ -length-bounded cut is tractable if and only if  $\ell \leq 3$ .*

Let  $P_k$  be a path on  $k$  vertices.

### **Theorem**

*$\ell$ -length-bounded cut is equivalent to **FixMinVio**( $P_{\ell+2}$ ).*

A  $k$ -subpartition of  $V$  is  $k$  pairwise disjoint non-empty sets contained in  $V$ .

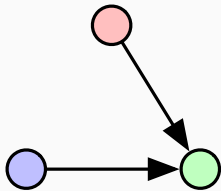
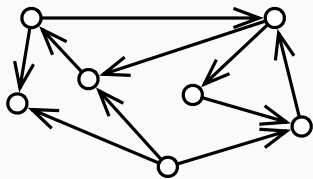
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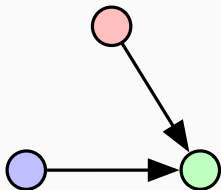
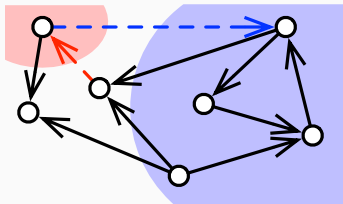
**Input:** Directed graph  $G = (V, E)$

**Output:** A  $k$ -subpartition of  $V$ ,  $\{V_1, \dots, V_k\}$  where  $\sum_{i=1}^k |\delta^{in}(V_i)|$  is minimized.

## 2-subpartition

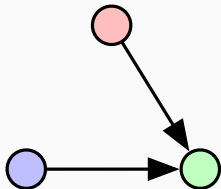
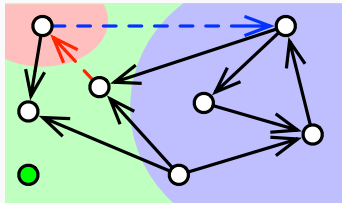


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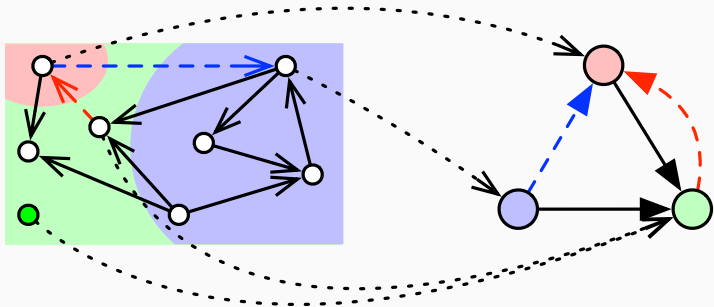




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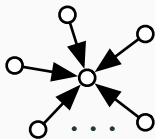


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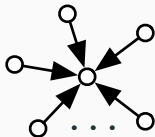
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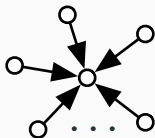
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Tractable for constant  $k$ . Using subtree hypergraph.

# Classification of $f$ -tractable graphs

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**Start with a harder problem.**



Let  $G = (V, E)$ ,  $H = (U, F)$ . A cost function  $c : V \times U \rightarrow \mathbb{N}$  assigns cost  $c(v, u)$  to mapping  $v$  to  $u$ .

The **cost** of a map  $f$  from  $G$  to  $H$  is

$$\sum_{v \in V} c(v, f(v))$$

# Minimum cost and violation

**Problem:**  $\text{MinCostVio}(H)$

**Input:** Graph  $G$  and a cost function  $c$ .

**Output:** A map  $f$  from  $G$  to  $H$  that minimizes the sum of violation and cost.

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$H$  is **c-tractable** if  $\text{MinCostVio}(H)$  is tractable.

### Theorem ([Deineko et.al. 08])

*$H$  is c-tractable if and only if its edge set can be partitioned into two cliques, and the two cliques spans the graph.*

## But why do we care about $\text{MinCostVio}(H)$ ?

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Input to **MinCostVio**( $H$ ) is  $G$ ,  $c$ , where  $c(v', u) = \infty$  if  $v' \in V'$  and  $f(v') \neq u$  and 0 everywhere else.

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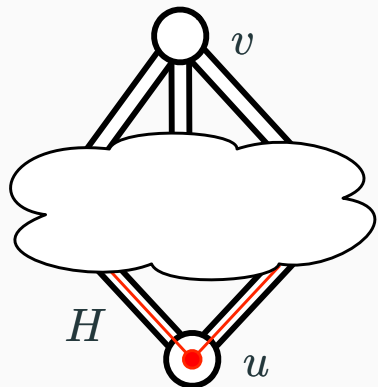
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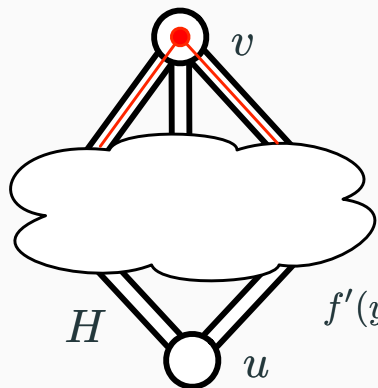
Nope:  $P_5$  is  $f$ -tractable but  $c$ -tractable.

## An observation: moving up



$$N(u) \subseteq N(v)$$
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$$f'(y) = \begin{cases} f(y) & \text{if } y \neq x \\ v & \text{otherwise} \end{cases}$$

Violation of  $f'$  is at most violation of  $f$ .

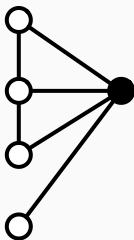
## Superseded vertices

Assume there is a total order  $\prec$  of the vertices in  $H$ .  $u$  is superseded by  $v$ , if

- $N(u) \subsetneq N(v)$ , or
- $N(u) = N(v)$  and  $u \prec v$ .

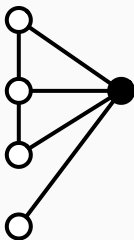
# Apex

A vertex is an **apex** if no vertex supersedes it.



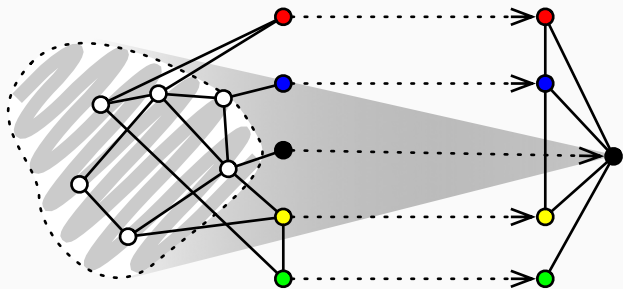
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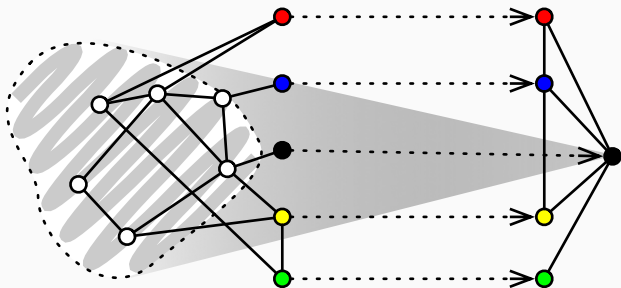
A vertex is an **apex** if no vertex supersedes it.



The **apex subgraph** of  $H$  is  $H[A]$ , where  $A$  is the set of apex vertices.

There exists an optimal solution where the non-fixed vertices are mapped to apex vertices.





A graph with a single vertex apex subgraph is  $f$ -tractable.



**Theorem ([Elem, Hassin & Monnot 13])**

*H is f-tractable if the apex subgraph of H is a complete graph.*

# f-tractability and c-tractability

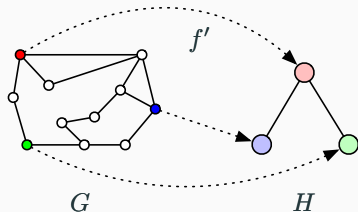
**Theorem ([Kawarabayashi & X manuscript])**

*H is f-tractable if the apex subgraph of H is c-tractable.*

**FixMinVio**(H)

$$G = (V, E)$$

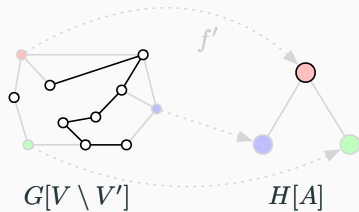
$$f' : V' \rightarrow U$$



**MinCostVio**(H[A])

$$G[V \setminus V']$$

$$c(v, u) = \left| \left\{ \begin{array}{l} vv' \mid v' \in V' \\ vv' \in E \\ uf'(v') \notin F \end{array} \right\} \right|$$



**Theorem ([Kawarabayashi & X manuscript])**

*H is f-tractable if and only if the apex subgraph of H is c-tractable.*

**Theorem ([Kawarabayashi & X manuscript])**

*H is f-tractable if and only if the apex subgraph of H is c-tractable.*

The theorem holds for directed graphs for an appropriate definition of apex.

# Consequences

## $\ell$ -length bounded cuts

**Theorem ([Mahjoub & McCormick 00])**

*$\ell$ -length-bounded cut is tractable if and only if  $\ell \leq 3$ .*



**Proof.**

$\ell$ -length-bounded cut is equivalent to **FixMinVio**( $P_{\ell-2}$ ).

The apex subgraph of  $P_k$  is  $P_{k-2}$ .

$P_k$  is c-tractable iff  $k \leq 3$ .

$P_k$  is f-tractable iff  $k \leq 5$ .

□

# Consequences

## An extremely artificial problem to illustrate a point

**Input:** Graph  $G$  and vertices  $x, y, z$ .

**Output:** A minimum cardinality set of edges  $F$  such that

- $d_{G-F}(x, y), d_{G-F}(y, z) \geq 3$ ,
- $d_{G-F}(x, z) \geq 4$ .

## Consequences

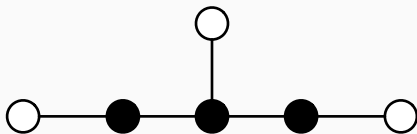
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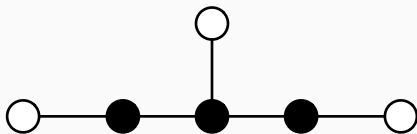
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Reduces to **FixMinVio**( $H$ ), where  $H$  is:



Solvable in polynomial time.

## s-tractable graphs

---

# We know little about s-tractable graphs

## $\text{MinVio}_0(H)$

**Input:** Graph  $G$ .

**Output:** Decide if there is a surjective map from  $G$  to  $H$  with violation 0.

A graph  $H$  is  $s_0$ -tractable if  $\text{MinVio}_0(H)$  is tractable.

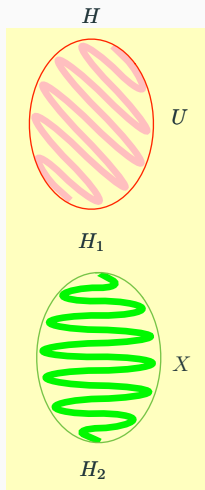
Previously known [Elem, Hassin & Monnot 13]:

- $H$  is f-tractable then it is s-tractable.
- $H$  is not  $s_0$ -tractable then it is not s-tractable.

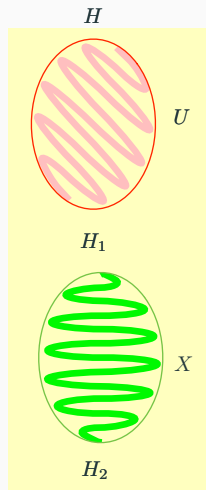
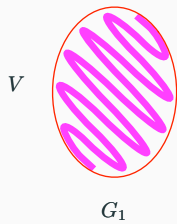
## Theorem

*A reflexive graph  $H$  is  $s$ -tractable if and only if each of its component is  $s$ -tractable.*

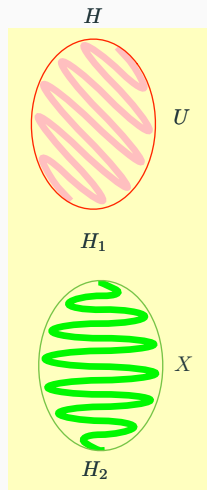
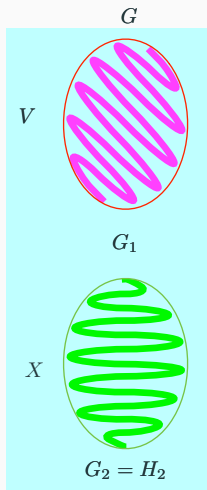
# Hardness



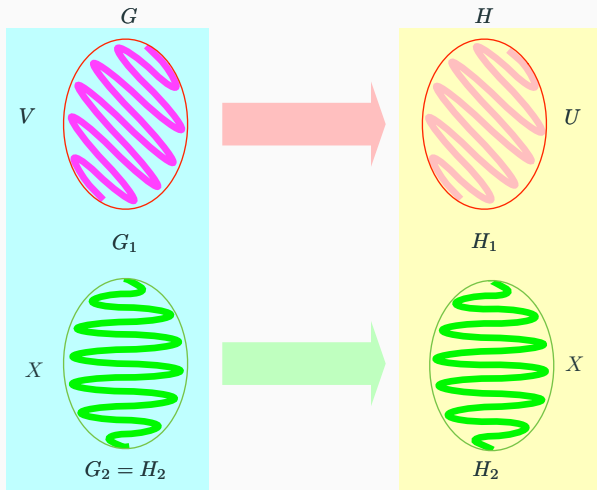
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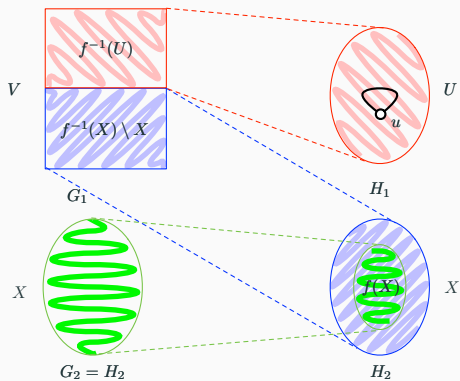
For a minimum surjective map  $f$  from  $G$  to  $H$ , we can find a surjective map  $f'$  such that

- violation of  $f'$  is no larger than violation of  $f$ ,
- $f'(X) = X$ ,
- $f'(V) = U$ .

$f'|_V$  is the desired minimum violation map from  $G_1$  to  $H_1$ .

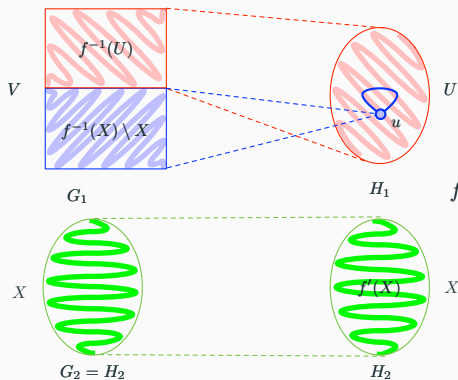
Consider an optimal solution  $f$ .  
No edge in  $G_2$  is a violating edge.

# Hardness



$$f(X) \subset X$$

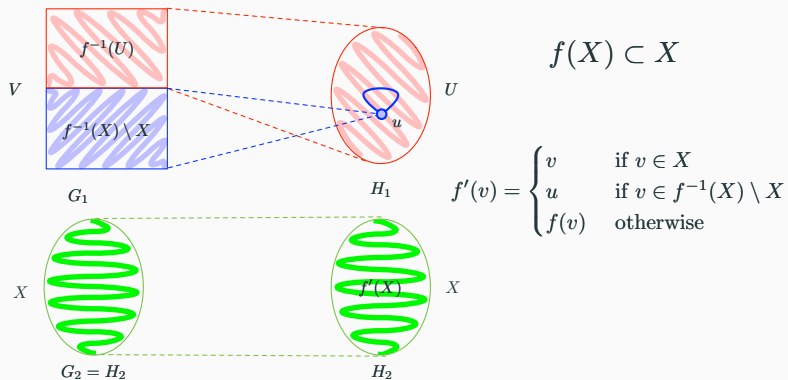
# Hardness



$$f(X) \subset X$$

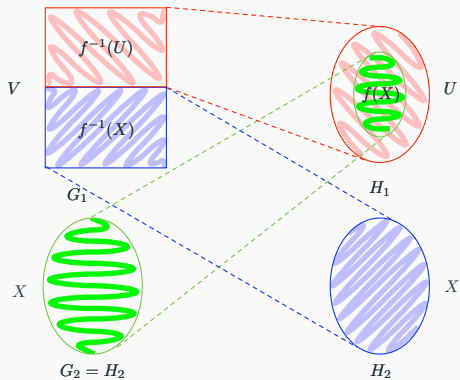
$$f'(v) = \begin{cases} v & \text{if } v \in X \\ u & \text{if } v \in f^{-1}(X) \setminus X \\ f(v) & \text{otherwise} \end{cases}$$

# Hardness



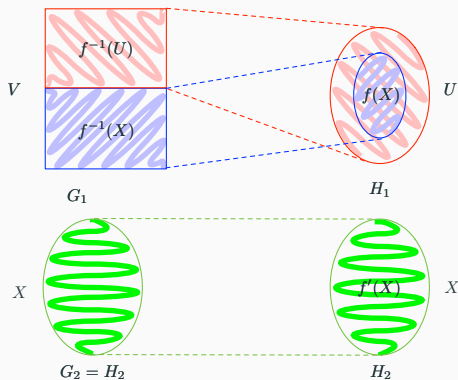
Reflexivity is crucial.

# Hardness



$$f(X) \subset U$$

# Hardness



$$f(X) \subset U$$

$$f'(v) = \begin{cases} v & \text{if } v \in X \\ f(f(v)) & \text{if } v \in f^{-1}(X) \\ f(v) & \text{otherwise} \end{cases}$$

### **Theorem**

*If the components of a reflexive graph  $H$  are  $s$ -tractable, then  $H$  is  $s$ -tractable.*



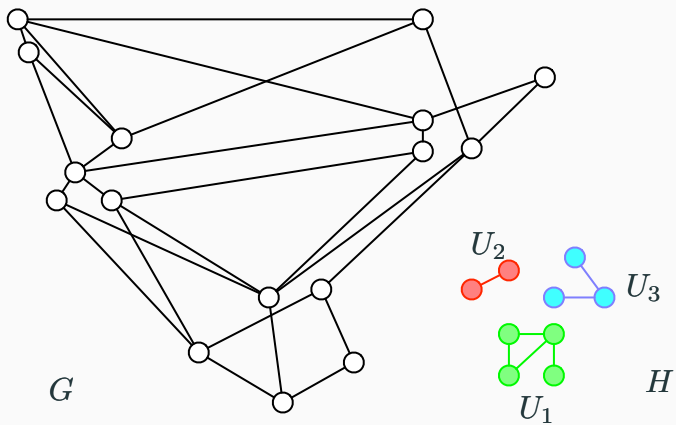
## Set up

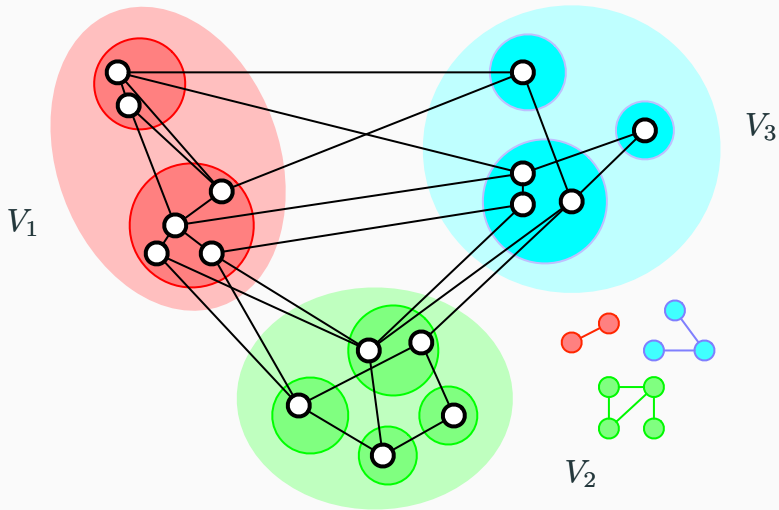
$H$  is a  $k$  vertex graph consist of components  $U_1, \dots, U_m$ .

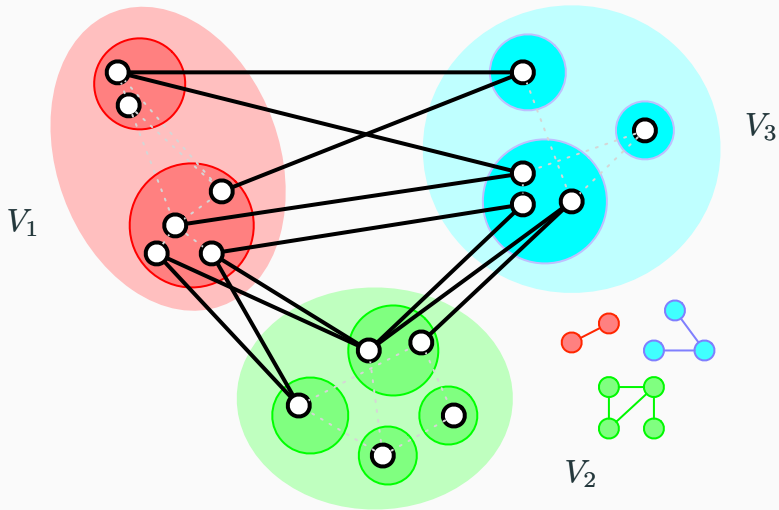
$H[U_i]$  is s-tractable for all  $i$ .

$f$  is the optimal solution of **MinVio**( $H$ ) with input graph  $G$ .

$V_i = f^{-1}(U_i)$ .







The set of edges crossing the  $m$ -cut  $(V_1, \dots, V_m)$  has value at most the value of the min- $k$ -cut of  $G$ .

min- $k$ -cut value  $\geq$  min violation  $\geq$   $m$ -cut value.

# Greedy Spanning Tree Packing

## Theorem ([Thorup 08])

*There exists a set of  $\tilde{O}(mk^3)$  spanning trees  $\mathcal{T}$  such that for each min- $k$ -cut, there is a tree  $T \in \mathcal{T}$  that crosses it at most  $2(k - 1)$  times.*

# Greedy Spanning Tree Packing

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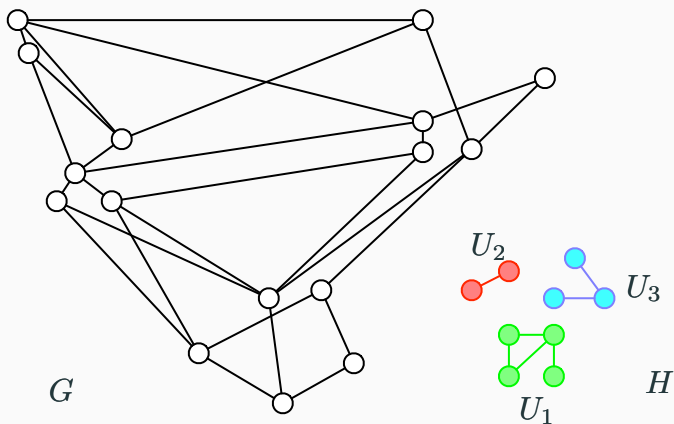
## Theorem

*There exists a set of  $\tilde{O}(mk^3)$  spanning trees  $\mathcal{T}$  such that for each set  $F$  of edges with weight at most the value of a min- $k$ -cut, there is a tree in  $T \in \mathcal{T}$  so  $|F \cap T| \leq 2(k - 1)$ .*

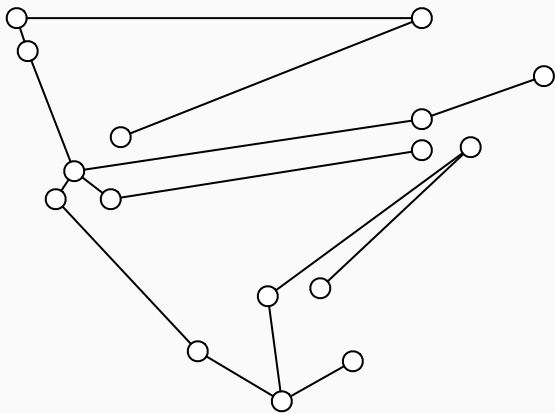
Input graph  $G$ .

1. Compute the greedy packing of  $\tilde{O}(mk^3)$  spanning trees  $\mathcal{T}$ .
2. For each tree  $T \in \mathcal{T}$  and each set of  $2(k-1)$  edges  $F$  in  $T$ :
  - 2.1  $\mathcal{C} \leftarrow$  the components of  $T - F$ .
  - 2.2 For every possible ordered  $m$  partition of  $\mathcal{C}$  into  $(\mathcal{C}_1, \dots, \mathcal{C}_m)$ .
    - 2.2.1  $V_i \leftarrow \bigcup_{X \in \mathcal{C}_i} X$ .
    - 2.2.2 Solve **MinVio**( $H[U_i]$ ) with input  $G[V_i]$ .
    - 2.2.3 Combine the solutions into a candidate solution.
3. Output the minimum candidate solution.

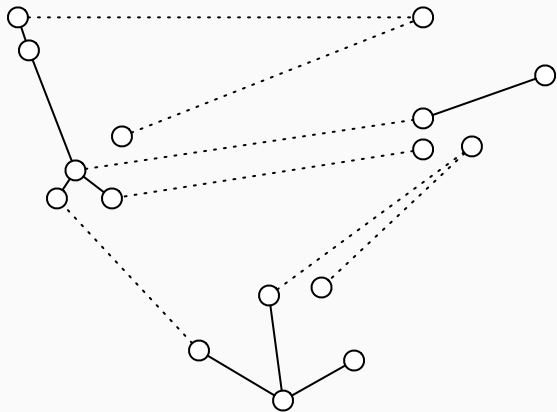




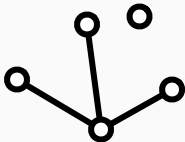
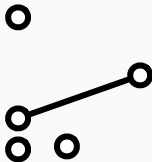
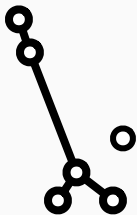
Graph  $G$  and  $H$ .  $H$  consist of components  $U_1$ ,  $U_2$  and  $U_3$ .



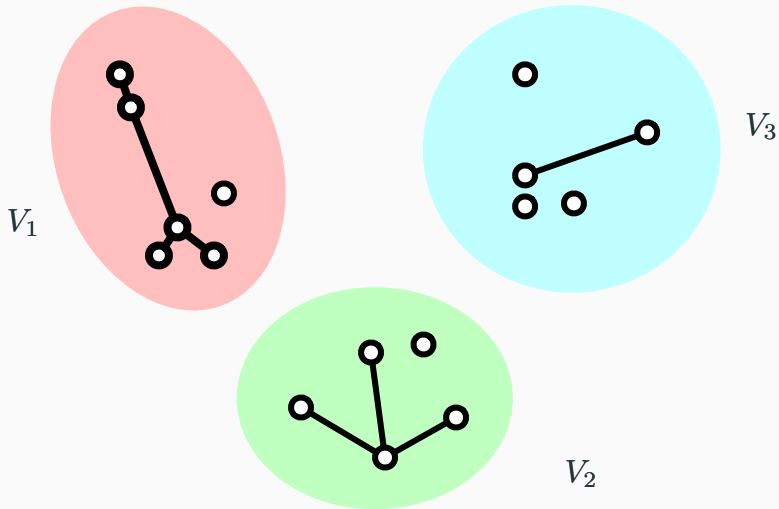
Guess spanning tree  $T$ .



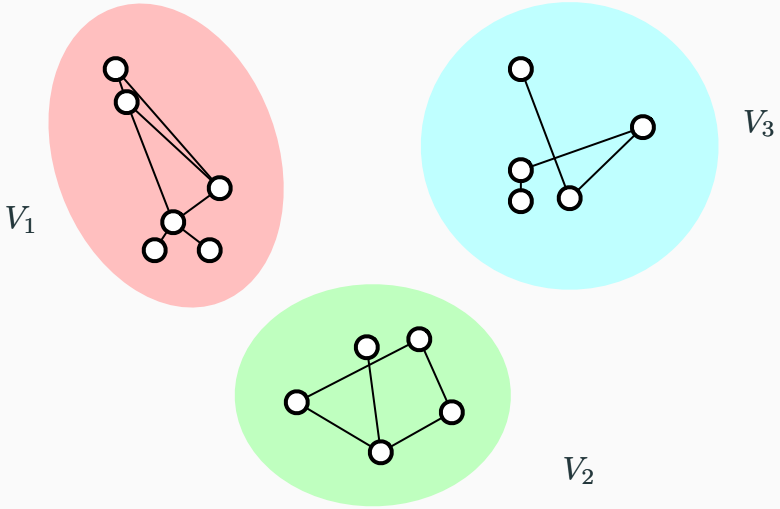
Guess edges  $F$ .



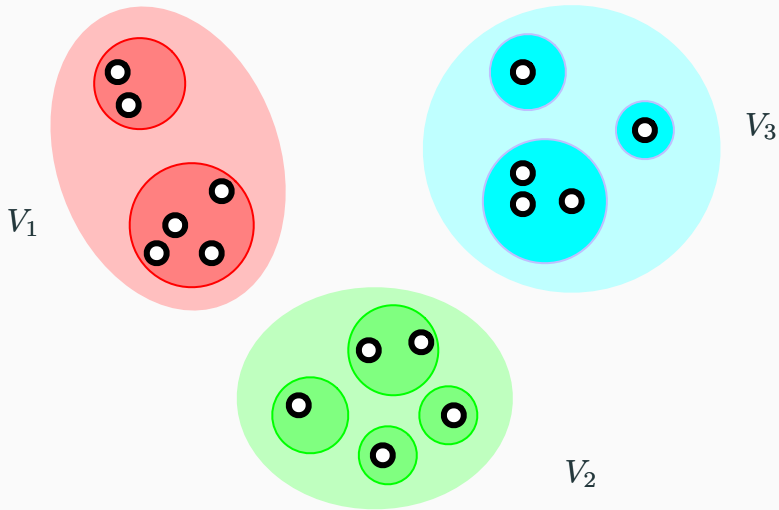
Components of  $T - F$ .



Guess grouping of the components.



Solve  $\text{MinVio}(H[U_i])$  on  $G[V_i]$ .



The resulting map.

## Theorem

*A reflexive graph  $H$  is  $s$ -tractable if and only if each of its component is  $s$ -tractable.*



## The min $s$ -size- $m$ -cut problem

Let  $s = (s_1, \dots, s_m)$ ,  $s_i \geq s_{i+1} \geq 1$ .

### min- $s$ -size- $m$ -cut problem

**Input:** Graph  $G$ .

**Output:** Find a  $m$ -partition of the vertices  $V_1, \dots, V_m$  such that  $|V_i| \geq s_i$ , and total number of edges crossing the partition is minimized.

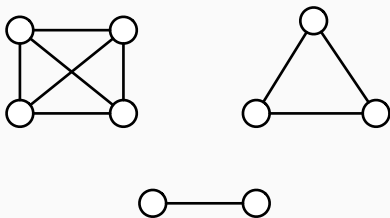
# The min $s$ -size- $m$ -cut problem

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## min- $s$ -size- $m$ -cut problem

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Equivalent to  $\text{MinVio}(K_{s_1} \cup \dots \cup K_{s_m})$ .

## Application to min $s$ -size $m$ -cut

$s = (s_1, \dots, s_m)$ . Let  $k = \sum_{i=1}^m s_i$ .

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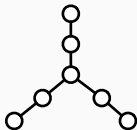
- Claimed deterministic  $n^{O(k^2)}$  time algorithm. [Elem, Hassin & Monnot 13]
- Randomized  $\tilde{O}(n^{2k})$  time algorithm. [Guiñez & Queyranne 12]
- The value of a minimum  $s$ -size  $m$ -cut is at most the value of min- $(1 + k - s_1)$ -cut. (for large enough graphs)
- Deterministic  $\tilde{O}(n^{2(k-s_1)})$  time algorithm.

# Open Problems

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# Classify the s-tractable graphs

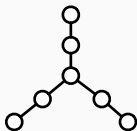
- Trees?





# Classify the s-tractable graphs

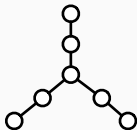
- Trees?



Given a graph  $G$ , delete minimum number of edges such that there exists 3 vertices with pairwise distance at least 4.

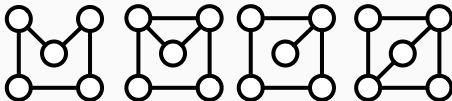
# Classify the s-tractable graphs

- Trees?

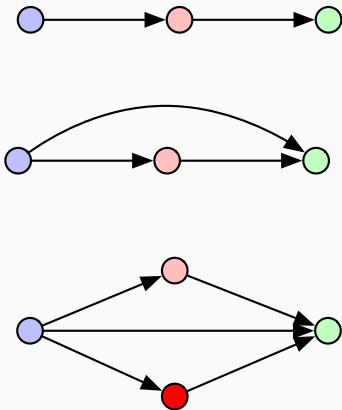


Given a graph  $G$ , delete minimum number of edges such that there exists 3 vertices with pairwise distance at least 4.

- 5 vertex graphs?



## Classify the s-tractable directed graphs



Equivalent to global linear-3-cut and global bicut, respectively  
[BCKLX 17].

**Thank you**