# A polynomial time algorithm for submodular 4-partition 

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## Submodular k-partition

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## Submodular $k$-partition

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- A $k$-partition $\mathcal{X}$ is minimum if $\sum_{X \in \mathcal{X}} f(X)$ is minimized.
- Submodular $k$-partition problem: Find a minimum $k$-partition.


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Goal: A polynomial time algorithm for fixed $k$.

## Examples: Min $k$-cut in graphs


$G=(V, E)$ is a graph.

- $F$ is a $k$-cut if $G-F$ has at least $k$ components.
- $c$ is the cut function, $c(S)$ is number of edges with 1 vertex in $S$ and one outside of $S$.
$c$ is submodular.
- min- $k$-cut $=\boldsymbol{m i n}$ submodular $k$-partition of $c$.


## Examples: Min $k$-cut in hypergraphs



- min $k$-partition: min submodular $k$-partition of the cut function $c$.
- min $k$-cut: same definition as graph minimum $k$-cut.
- hypergraph $k$-partition $\neq$ hypergraph k-cut!
- Let $h(e) \in e$ be a designated vertex of $e$. $f(S)$ is number of edges with $h(e) \in S$ and some vertex of $e$ outside of $S$.
- $\boldsymbol{\operatorname { m i n }}-k$-cut $=\boldsymbol{\operatorname { m i n }}$ submodular $k$-partition of $f$.


## Previous works on GRAPH k-cut

- Fix a partition class: $n^{\Theta\left(k^{2}\right)}$ [Goldschmidt-Hochbaum 94].
- Randomized contraction: $\tilde{O}\left(n^{2(k-1)}\right)$ [Karger-Stein 96].
- Divide and conquer: $O\left(n^{(4+o(1)) k}\right)$ [Kamidoi-Yoshida-Nagamochi 07], $O\left(n^{(4-o(1)) k}\right)$ [Xiao 08].
- Tree packing: $\tilde{O}\left(n^{2 k}\right)$ [Thorup 08], $\tilde{O}\left(n^{2 k-1}\right)$ [Chekuri-Quanrud-X 20]
- Optimum randomized contraction $\tilde{O}\left(n^{k}\right)$ [Gupta-Harris-Lee-Li 20].


## Previous works on HYPERGRAPH k-cut

Polynomial time algorithms:

- $k=2$
- Vertex ordering: [Klimmek-Wagner 96, Queyranne 98, Mak-Wong 00].
- Randomized contraction: [Ghaffari-Karger-Panigrahi 17].
- $k=3$ : Deterministic contraction [Xiao 08].
- Constant rank: Hypertree packing [Fukunaga 10].
- General $k$
- randomized algorithm [Chandrasekaran-X-Yu 18, Fox-Panigrahi-Zhang 19].
- deterministic algorithm [Chekuri-Chandrasekaran 20]


## Previous works on Submodular k-partition

- $k=2$ : Reduces to symmetric submodular minimization. i.e. $g(S)=f(S)+f(V \backslash S)$.
- $k=3$ : Generalizes hypergraph 3-cut algorithm [Okumoto-Fukunaga-Nagamochi 10]
- Open for $k \geq 4$.


## Our Result

$\tau(n)$ time to minimize a submodular function on $n$ vertices.

## Theorem

There exists an $O\left(n^{6} \tau(n)\right)$ time algorithm for submodular 4-partition.
Generalizes the deterministic contraction approach for submodular 3-partition.

## Warmup: Submodular 3-partition



Definition (Noncrossing) A partition $\mathcal{X}$ is noncrossing with a partition $\mathcal{Y}$ if there is a component of $\mathcal{X}$ that is contained in some component of $\mathcal{Y}$.

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## Warmup: Submodular 3-partition



Definition (Noncrossing)
A partition $\mathcal{X}$ is noncrossing with a partition $\mathcal{Y}$ if there is a component of $\mathcal{X}$ that is contained in some component of $\mathcal{Y}$.

## Theorem

Every min-2-partition is noncrossing with some min-3-partition.
Simple case analysis. Hints a contraction algorithm.

## Warmup: Submodular 3-partition

A partition is called $h$-size if all its components contain at least $h$ elements.


A partition is called non-trivial if at least two partition classes has size at least 2.


Trivial

## Combinatorial Structure

## Theorem

Let $f$ be a submodular function on least 7 vertices. If all minimum 3-partition are 2-size, then every minimum non-trivial 2-partition is noncrossing with some minimum 3-partition.

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```
Min3Partition \((f)\) :
    \(V \leftarrow \operatorname{domain}(f)\)
    if \(|V| \leq 6\)
        return the optimum by brute force
    for \(X \in\binom{V}{1}\)
        add candidate \(\{X\} \cup \operatorname{Min} 2 \operatorname{Partition}(f x)\)
    \(\mathcal{X} \leftarrow \operatorname{MinNonTrivial2Partition}(f)\)
    for \(X \in \mathcal{X}\)
        add candidate \(\operatorname{Min} 3\) Partition \((f / x)\)
    return minimum over all candidates
```


## Running Time Analysis

$$
\begin{aligned}
& \frac{\operatorname{Min} 3 P a r t i t i o n(~}{}(f) \text { : } \\
& \text { if }|V| \leq 6 \\
& \text { return the optimum by brute force } \\
& \text { for } X \in\binom{V}{1} \\
& \text { add candidate }\{X\} \cup \operatorname{Min} 2 \operatorname{Partition}(f x) \\
& \mathcal{X} \leftarrow \operatorname{MinNonTrivial2Partition}(f) \\
& \text { for } X \in \mathcal{X} \\
& \text { add candidate Min3Partition }\left(f_{/ X}\right) \\
& \text { return minimum over all candidates }
\end{aligned}
$$

$$
T(n)=\max _{\substack{a+b=n \\ 1 \leq a \leq b \leq n-2}} T(a+1)+T(b+1)+O\left(n^{c}\right)=O\left(n^{c+1}\right) .
$$

## Submodular 4-partition

Theorem
Every min-3-partition is noncrossing with a min-4-partition.

## Submodular 4-partition

## Theorem

Every min-3-partition is noncrossing with a min-4-partition.
Noncrossing is insufficient for polynomial time algorithm for 4-partitions.
Let $\mathcal{X}=\left\{X_{1}, X_{2}, X_{3}\right\}$ such that $\left|X_{1}\right|=n-4,\left|X_{2}\right|,\left|X_{3}\right|=2$.
Same algorithm gives us running time.

$$
T(n) \geq 2 T(n-1)+O\left(n^{c}\right)
$$

$T(n)$ is exponential!

## Compatible

## Definition (Noncrossing)

A partition $\mathcal{X}$ is noncrossing with a partition $\mathcal{Y}$ if there is 1 component of $\mathcal{X}$ that is contained in some component of $\mathcal{Y}$.

## Compatible

## Definition (Noncrossing)

A partition $\mathcal{X}$ is noncrossing with a partition $\mathcal{Y}$ if there is 1 component of $\mathcal{X}$ that is contained in some component of $\mathcal{Y}$.

Definition (Compatible)
A partition $\mathcal{X}$ is compatible with partition $\mathcal{Y}$, if there are $|\mathcal{X}|-1$ components of $\mathcal{X}$ that each is contained inside some component of $\mathcal{Y}$.

Noncrossing $=$ Compatible for 2-partitions

## Compatible



Compatible allows us to contract $|\mathcal{X}|-1$ sets at the same time.
Non-trivial make sure each contraction of $|\mathcal{X}|-1$ sets decreases the number of vertices.

$$
T(n)=\max _{\substack{\sum_{i=1}^{k} a_{i}=n \\ 1 \leq a_{i} \leq n-k}} \sum_{i=1}^{k} T\left(a_{i}+k-1\right)+O\left(n^{c}\right)=O\left(n^{c+1}\right) .
$$

## Combinatorial Structure

Theorem (Compatibility of 2 -partition and 3 -partition)
Let $f$ be a submodular function on at least $(2 \times 3)+1$ vertices. If all minimum 3 -partition are 2 -size, then every minimum non-trivial 2 -partition is compatible with some minimum 3-partition.

## Combinatorial Structure

Theorem (Compatibility of 2-partition and 3-partition)
Let $f$ be a submodular function on at least $(2 \times 3)+1$ vertices. If all minimum 3 -partition are 2 -size, then every minimum non-trivial 2 -partition is compatible with some minimum 3-partition.

Theorem (Compatibility of 3-partition and 4-partition)
Let $f$ be a submodular function on at least $(3 \times 4)+1$ vertices. If all minimum 4 -partition are 3 -size, then every minimum non-trivial 3 -partition is compatible with some minimum 4-partition.

## Proof.

Case Analysis. Lot of cases.

## Algorithm for submodular 4-partition

```
\(\frac{\text { Min4Partition }(f) \text { : }}{V \leftarrow \operatorname{domain}(f)}\)
    if \(|V| \leq 12\)
            return the optimum by brute force
    for \(X \in\binom{V}{1} \cup\binom{V}{2}\)
        add candidate \(\{X\} \cup \operatorname{Min} 3\) Partition \((f x)\)
    \(\mathcal{X} \leftarrow \operatorname{MinNonTrivial3Partition}(f)\)
    for \(\{A, B\} \in\binom{\mathcal{X}}{2}\)
        add candidate Min4Partition \(\left(\left(f_{/ A}\right) / B\right)\)
    return minimum over all candidates
```

Find a minimum non-trivial 3-partition is in $P$.

## Compatibility for larger k?

## Conjecture

Every minimum $k$ - 1 -partition is compatible with some minimum $k$-partition.

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## Conjecture

Every minimum $k$ - 1 -partition is compatible with some minimum $k$-partition.
FALSE! Counterexample in graphs for $k=5$ !

## Open problems

- Algorithmic: Polynomial time submodular $k$-partition algorithm for $k \geq 5$ ?
- Combinatorial:
- Every min $k$ - 1-partition is noncrossing with a min $k$-partition? (it is true for $k=5!$ )
- Every min $k$ - 1-partition has at least $t_{k}$ parts that each is a subset of some part in a min $k$-partition, how large can $t_{k}$ be?

Thank You!

